

# Announcements

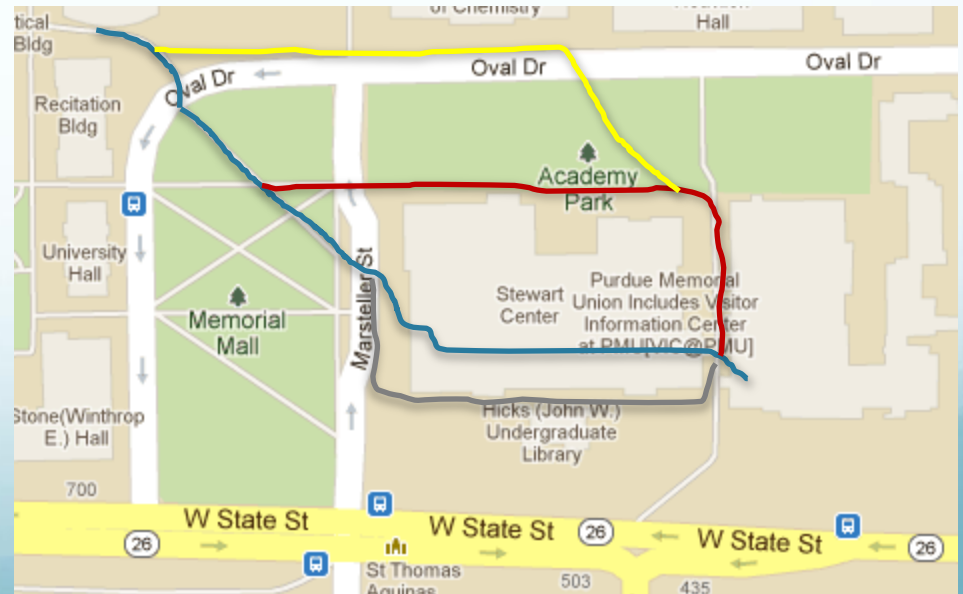
- Midterm next week Monday
- No class next Thursday
- Review this Thursday

# New Course Spring 2013!

- CS 290 00 Contemporary Issues
- Robb Cutler
  - 3 credits
  - No prerequisites
  - No programming

# More than one way to solve a problem

- There is always more than one way to solve a problem.
  - You can walk different paths from A to B.
- Some solutions are better than others.
- Need metric so we can compare them...



# Our programs (functions) implement algorithms

- *Algorithms* are descriptions of computations for solving a problem:

To find the min element in a list

1. Initialize min to the first element
2. Scan the list
3. For each element, if it is smaller than min, update min

- Programs (functions for us) are executable interpretations of algorithms:

```
min = L[0]  
for x in L:  
    if x < min: min = x
```

- The same algorithm can be implemented in many different languages and on many different platforms.

# How do we compare algorithms?

- For example, there is more than one way to search.
  - How do we compare algorithms to say that one is faster than another – independent of the hardware platform?
- Computer scientists use something called *Big-O notation*
  - It's the *order of magnitude* of the running time of an algorithm with large input size
- Big-O notation ignores the differences between languages, even between compiled vs. interpreted, as well as hardware speed.
  - It focuses on how the *number of steps* to be executed grows
  - It focuses on *scalability*

# What question are we trying to answer?

- If I am given a larger problem to solve (larger input), how is the performance of the algorithm affected?
- If I change the input, how does the running time change?

# How can we determine the complexity?

- Step 1: we must determine the “input,” or what data the algorithm operates over, and its size, measured fairly
  - Testing if  $n$  is prime has input size proportional to  $\log(n)$
- Step 2: determine how many operations are needed to be done for each piece of the input, measured fairly
  - Determining the smallest element in an unordered list of size  $n$  is not constant-time  $O(1)$  – it is  $O(n)$
- Step 3: eliminate constants and smaller terms for big O notation

# E.g., searching a phone book...

- In the first week we introduced the task of searching a phone book as an example algorithm. Compare 3 algorithms:
- Algorithm 1:
  - Start at the front, check each name one by one, until found or coming to the end of the book
- Algorithm 2:
  - Use an index to jump to the correct letter, then search sequentially, as before, starting at that point
- Algorithm 3:
  - Split in half, choose which half has the name, repeat recursively until name found -- or size is zero and the name is not found



# Algorithm 1

```
# a phone_book is a list of entries
# an phone book entry is [name,number]
# we are searching for key_name

def algorithm1(phone_book, key_name):
    for k in range(len(phone_book)):
        if key_name == phone_book[k][0]:
            return phone_book[k][1]
    return
```

- Worst case: key\_name not in book or close to the end
  - Computational work  $\sim \text{len}(\text{phone\_book})$
  - We say algorithm1 is  $O(n)$  where  $n$  is  $\text{len}(\text{phone\_book})$
  - Each time through loop is  $O(1)$  -- constant time

# Algorithm 2

```
# a phone_book is a list of entries
# an phone book entry is [name,number]
# we are searching for key_name

inx1 = first location of name starting key_name[0]
inx2 = last location of name with that letter
def algorithm2(phone_book, key_name, inx1, inx2):
    for k in range(inx1,inx2+1):
        if key_name == phone_book[k][0]:
            return phone_book[k][1]
    return
```

- Worst case: key\_name not in book and lots of names with that first letter
  - Computational work  $\sim$  # of names with that letter which is  $\sim n$
  - We say algorithm2 is also  $O(n)$  where  $n$  is  $\text{len}(\text{phone\_book})$  in the worst case
  - Each time through loop is  $O(1)$  -- constant time
  - There is a constant-factor speed-up immaterial to the scaling behavior

# Algorithm 3

```
def algorithm3(book, key, lo, hi):
    k = (hi+lo)/2
    if book[k][0] == key: return book[k][1]
    if book[k][0] < key:
        return algorithm3(book,key,k+1,hi)
    else: return algorithm3(book,key,lo,k-1)
    if hi < lo: return "not in book"
return
```

- Worst case: key is not in book
  - Computational work  $\sim \log_2(n)$ , where  $n$  is  $\text{len}(\text{book})$
  - We say algorithm3 is  $O(\log(n))$
  - Each call is  $O(1)$  -- constant time -- except for the recursive calls

# How to count steps

- Loops:
  - Body takes  $k$  steps, then loop takes  $km$  steps, where  $m$  is the number of times through the loop
- Straight-line code usually  $O(1)$  constant time
  - Exceptions:
    - Assignments, arithmetic, comparisons are  $O(1)$  unless we deal with large structures, such as lists or very long strings
    - Function calls estimated separately

# Nested loops are multiplicative

```
def loops():  
    count = 0  
    for x in range(1,5):  
        for y in range(1,3):  
            count = count + 1  
            print (x,y,"--Ran it",count,"times" )
```

```
>>> loops()  
1 1 --Ran it 1 times  
1 2 --Ran it 2 times  
2 1 --Ran it 3 times  
2 2 --Ran it 4 times  
3 1 --Ran it 5 times  
3 2 --Ran it 6 times  
4 1 --Ran it 7 times  
4 2 --Ran it 8 times
```

# Big-O notation ignores constants

- Consider if we executed a particular statement 3 times in the body of the loop
  - If we execute each loop 1 million times this constant becomes meaningless (ie:  $n = 1,000,000$ )
  - For large  $n$ ,  $O(n^2) \equiv O(3n^2)$

```
def loops(n):  
    count = 0  
    for x in range(1,n):  
        for y in range(1,n):  
            count = count + 1  
            count = count + 1  
            count = count + 1
```

# Lets compare our phone book search algorithms

- Algorithm 1:
  - Start at the front, check each name one by one
    - $O(n)$
- Algorithm 2:
  - Use the index to jump to the correct letter
    - $O(n/26) \cdots O(1/26 * n) \cdots O(n)$
- Algorithm 3:
  - Split in half, choose which half must have the name, repeat until found
    - $O(\log n)$

# More on big O notation

- [http://en.wikipedia.org/wiki/Big\\_O\\_notation](http://en.wikipedia.org/wiki/Big_O_notation)
  - Additional background
- We mentioned that big O notation ignores constants
  - Lets look at this more formally:
  - Big O notation characterizes functions (algorithms in our case) by their growth rate



# Identify the term that has the largest growth rate

Num of steps	growth term	asympt. complexity
• $6n + 3$	$6n$	$O(n)$
• $2n^2 + 6n + 3$	$2n^2$	$O(n^2)$
• $2n^3 + 6n + 3$	$2n^3$	$O(n^3)$
• $2n^{10} + 2^n + 3$	$2^n$	$O(2^n)$
• $n! + 2n^{12} + 2^n + 3$	$n!$	$O(n!)$

CQ: For large  $n$ , which is faster?

*A.*  $10^{20}n$

*B.*  $10^{-20}n^2$

CQ: For large  $n$ , which is faster?

*A.*  $10^{20}n$  (seconds)

*B.*  $10^{-20} n^2$  (seconds)

A is better when  $n > 10^{20}$

# Comparison of complexities: fastest to slowest

- $O(1)$  – constant time
- $O(\log n)$  – logarithmic time
- $O(n)$  – linear time
- $O(n \log n)$  – log linear time
- $O(n^2)$  – quadratic time
- $O(n^3)$  – cubic time
- $O(2^n)$  – exponential time
- $O(n!)$  – factorial time

# Do we know of any $O(1)$ algorithms?

- These are “constant time” algorithms
- Simple functions that contain no loops are usually  $O(1)$
- Examples:
  - project 1 computation;
  - “real feel” of temperature at certain humidity

# Finding something in the phone book

- $O(n)$  algorithm
  - Start from the beginning.
  - Check each page, until you find what you want.
- Not very efficient
  - Best case: One step
  - Worst case:  $n$  steps where  $n =$  number of pages
  - Average case:  $n/2$  steps

# What about algorithm 2?

- Recall that algorithm 2 for finding a name in the phone book used the index
- Can we make this algorithm faster by having an index for each letter?
- Would such an algorithm have a lower running time than our binary search?
  - Would it have a lower complexity?

# Clicker Question

- What is the complexity of hiding the image in project 3, where the image is  $n \times n$  pixels?

*A.*  $O(1)$

*B.*  $O(n)$

*C.*  $O(n^2)$

*D.*  $O(n^3)$



# Not all algorithms are the same complexity

- There is a group of algorithms called *sorting algorithms* that place things (numbers, names) in a sequence.
- Some of the sorting algorithms have complexity around  $O(n^2)$ 
  - If the list has 100 elements, it takes about 10,000 steps to sort them.
- However, others have complexity  $O(n \log n)$ 
  - The same list of 100 elements would take only 460 steps.
- Think about the difference if you're sorting your 1,000,000 customers...

# We want to choose ~~the~~ algorithm with the a best complexity

- We want an algorithm which will be
  - Fast: a “lower” complexity mean an algorithm will perform better on *large* input
  - Stable: gives accurate answers
  - Space efficient
  - Easy to implement and maintain

# Generating the dragon curve

```
F(m, ch) :  
  if m==1: return ch  
  return F(m-1, 'R') + ch + F(m-1, 'L')
```

- Key question to ask: are the strings of negligible length?
- So we need to solve a recurrence:

$$T(1) = 1$$
$$T(m + 1) = 2T(m) + 1$$

which is solved by

$$T(m) = 2^m - 1$$

- Proof by induction...

# Homework

- Study for the midterm

# Announcements

- Midterm on Monday, Nov. 5, 8pm, WTHR 200

# Midterm 2 Review

- Many advanced issues are explained in the text, part 2
- Important control structures
  - Functions
  - Loops
  - Conditionals
  - Recursion
- Important things to review
  - Binary numbers, [bit operations](#)
  - Boolean operators (and, or, not)
  - String operations: len, ord, +, \*, slice, index, strip, split, *etc.*
  - List operations: +, \*, slice, index, assign, append, insert, *etc.*
  - Libraries [standard](#), [os](#), [url](#)
  - Input/output
  - Tree and matrix encodings, operations

# Functions

- Functions allow us to “name” a region of code in a similar fashion that a variable allows us to name a value
- Functions provide us a mechanism to reuse code

```
def name(input) :  
    code to execute when function is called  
return (output)
```

# Local vs Global Variables

- Functions introduce a new “scope”
  - This scope defines the lifetime of local variables
  - The scope is the function body

```
def name(input) :  
    code to execute <--- scope of local variables  
    return output
```



# Important Concepts

- Only ONE return is ever executed
  - The return ends execution of the function
  - If there are statements after the executed return they are ignored!
- If there is no return that is executed, or if a return is executed without a value, then the function returns the special python value: **None**
- The return specifies the value that the function “outputs”
  - If you return a variable the function outputs the value stored in that variable

# Conditionals

- Conditionals allow us to test for a condition and execute based on whether the condition is True or False

**if** *condition* :

*code to execute if condition is True*

**else:**

*code to execute if condition is False*

# Things to remember

- The else clause is optional
- There MUST be a condition to check after an elif
  - The else clause is still optional here too
- Anything we can express with elif we can express with a nested if

```
if x < 10:  
    print( "Hello" )  
elif y > 30:  
    print( "World" )
```

```
if x < 10:  
    print( "Hello" )  
else:  
    if y > 30:  
        print( "World" )
```

# Python Convention

- Python interprets non-Boolean expressions when they appear in a conditional:  
    if x:  
        <statements>
- [], 0, "" are all considered False
- Nonempty lists, nonempty strings, nonzero numbers are understood as True

# Lists, Strings

- Use bracket notation to access elements [ ]
- Lists and Strings use an index to access an element
  - We consider such structures ordered (as opposed to sets which would be unordered)

# Creating Structures

- Lists – use the [ ] notation
  - List = [1, 2, 3, 4, 5, “foo” ]
- Strings use the single or double quotes, or triple repeated quotes
  - String = “this is my string”

# Indexing

- $X[k]$  means:
  - Element  $k+1$  in a list
  - Character  $k+1$  in a string
- If lists are nested, we can refer to them by multiple indexing from outside in:

$X = [1,2, [3,[4]],5]$

$X[2] == [3,[4]]$

$X[2][1] == [4]$

$X[2][1][0] == 4$

# Negative indexing

- For  $S$  a string or list:
  - $S[0] == S[-len(S)]$
  - $S[1] == S[-len(S)+1]$
  - ...
  - $S[len(S)-1] == S[-1]$



# Structures can contain other structures

- Lists can contain elements which themselves are Lists
- This is used in encodings:
  - Matrix encoding
  - Tree encoding

# Specialized Structures built from structures containing structures

- Matrices
  - Represented as a list of lists
  - The internal lists are either *rows* or *columns*
- Trees
  - Represented as an arbitrary nesting of lists
  - The structure of the elements represents the parent node and the *branching* of it. Leaves are simple values.

# Matrices

- Review matrix multiplication
- Review how to populate a matrix
  - Go through the pre lab examples
- Review how to create a matrix
  - Python short hand

# Traversing a Matrix

- Is B encoded column by column or row by row?
  - We do not know
  - ... But what if I told you this loop prints the matrix row by row?

```
B = [[1, 0, 0], [0.5, 3, 4], [-1, -3, 6], [0, 0, 0]]  
for j in range(3):  
    for i in range(4):  
        print (B[i][j])
```

# How do we find out how the matrix is encoded?

- Step one: figure out the order in which the values are printed
  - 1, 0.5, -1, 0, 0, 3, -3, 0, 0, 4, 6, 0
- Step two: compare this to the matrix
  - $B = [[1, 0, 0], [0.5, 3, 4], [-1, -3, 6], [0, 0, 0]]$
- Step three: deduce the encoding by comparing the order to the matrix
  - The matrix is encoded column by column!

# Applying the same intuition to matrices

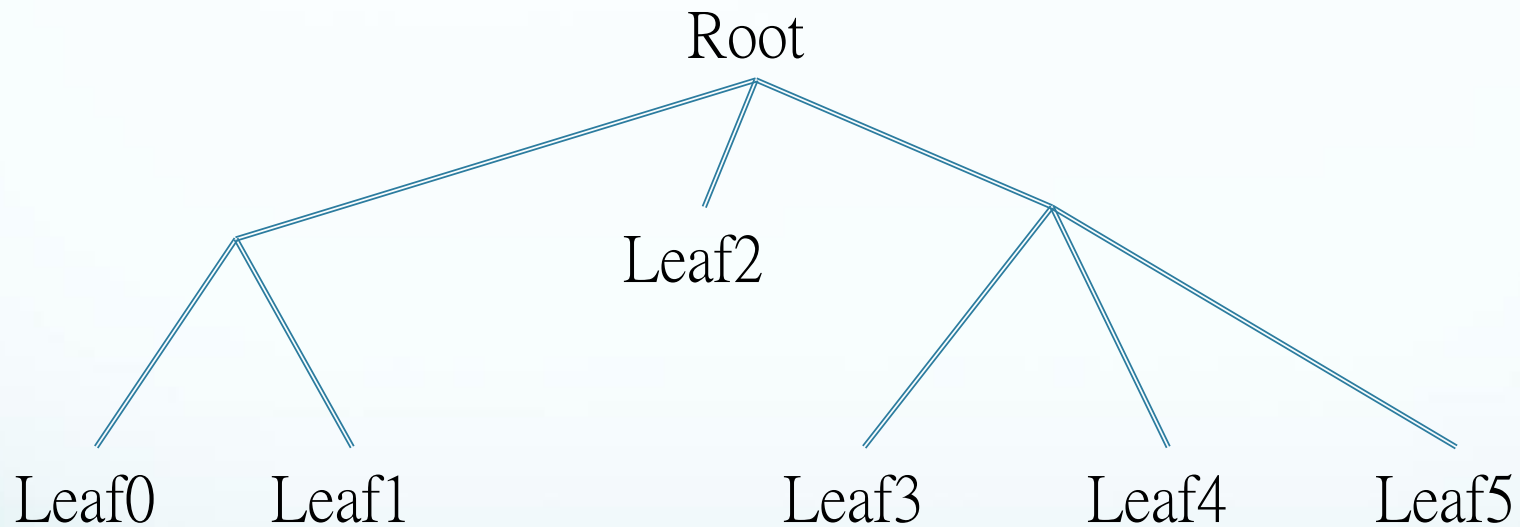
- Lets traverse the matrix the other way!

```
B = [[1, 0, 0], [0.5, 3, 4], [-1, -3, 6], [0, 0, 0]]  
for j in range(3):  
    for i in range(4):  
        print (B[i][j])  
for i in range(4):  
    for j in range(3):  
        print (B[i][j])
```

# Trees

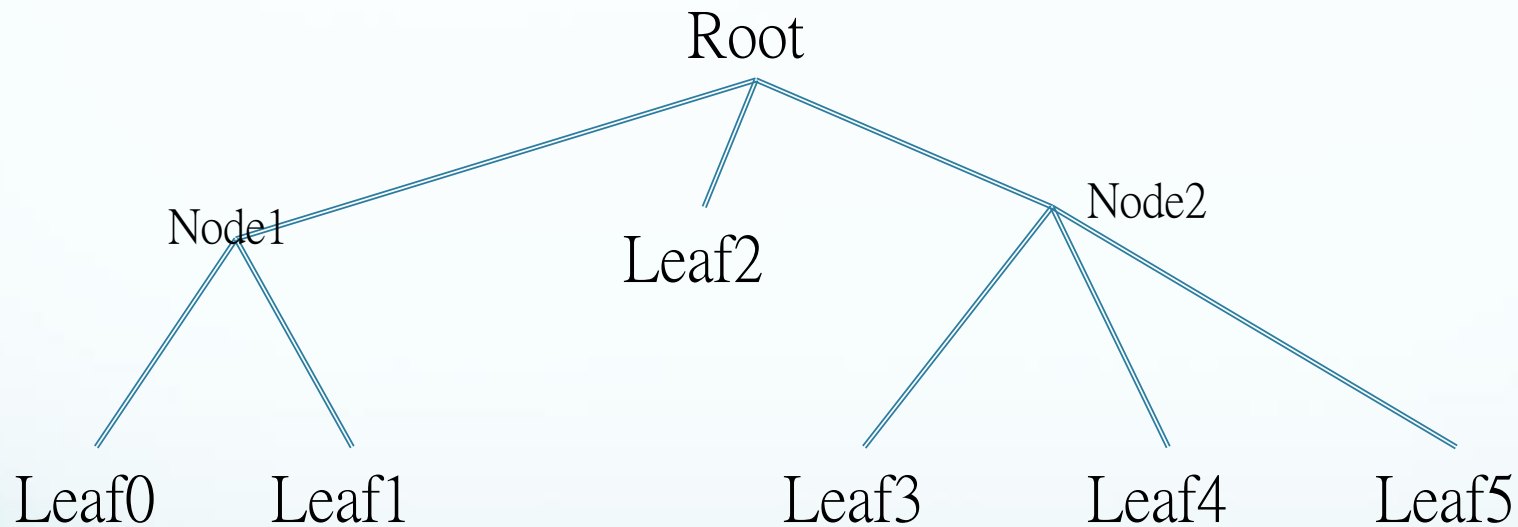
- Know how to select elements from a tree
- Know how to encode a tree using (nested) lists
- Distinguish internal nodes and leaves
- Understand how to visit tree nodes recursively

Given the picture can you generate the python list?



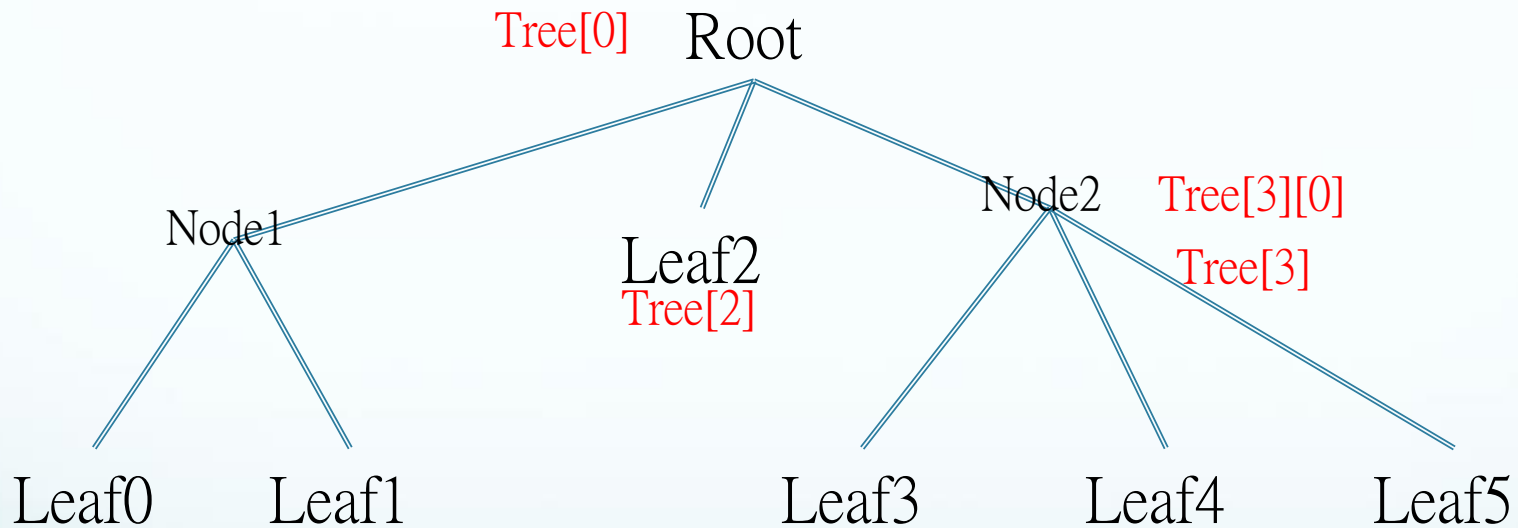


# Given the picture can you generate the python list?



```
Tree = [Root, [ Node1 , Leaf0, Leaf1], Leaf2, [Node2 , Leaf3, Leaf4, Leaf5] ]
```

# Indices provide us a way to “traverse” the tree



Tree = [Root, [ Node1 , Leaf0, Leaf1], Leaf2, [Node2 , Leaf3, Leaf4, Leaf5] ]

# Library Modules

- urllib
  - Allows us to get data directly from webpages
- os
  - Allows us to manage files and interact with the operating system

# File I/O

- What is the difference between the various modes?
  - We saw in class “w” “r”
- What is the difference between read, readline, and readlines?

# CQ: read() ends with

1. A) ends with `'\n'`
2. B) ends with character just before `'\n'`

# Methods on Files

- `object.method()` syntax: this time files are our object
  - Example: `file = open( "myfile" , "w" )`
- `file.read()` -- reads the entire file as one string
- `file.readlines()` – reads the file as a list of strings
- `file.write()` – allows you to write to a file
- `file.close()` – closes a file

# Immutable Structures

- Strings are considered immutable
  - What does this mean in practice?
  - We cannot assign new values to the indexed elements in strings
- Errors for strings:
  - $A = \text{"mystring"}$   
 $A[3] = \text{"p"}$

# Strings and Parsing

- What are the most important operations?
  - find
  - rfind
  - split
  - strip
  - rstrip
  - slicing
  - upper
  - lower



# String.find

- `string.find(sub)` – returns the lowest index where the substring `sub` is found or `-1`
- `string.find(sub, start)` – same as above, except searching the slice `string[start:]`
- `string.find(sub, start, end)` – same as above, except searching the slice `string[start:end]`

# String.rfind

- `string.rfind(sub)` – returns the highest index where the substring `sub` is found or `-1`
- `string.rfind(sub, start)` – same as above, except using the slice `string[start:]`
- `string.rfind(sub, start, end)` – same as above, except using the slice `string[start:end]`

# String.split

- `String.split(delimiter)` breaks the string `String` into parts, separated by the delimiter
  - `print ( "a b c d" .split( " "))`  
Would print: [ 'a' , 'b' , 'c' , 'd' ]

# Concrete Example

```
foo = " there their they' re"
```

```
elem = foo.split(" ")
```

```
for i in elem:
```

```
    print(i.split("e"))
```

```
[ 'there' , 'their' , "tey' re" ]
```

```
[ 'th', 'r' , " ]
```

```
[ 'th', 'ir']
```

```
[ 'th', "y' r" , " ]
```

# String.strip

- “hello helpful handy hammer” .strip( ‘ehr’ ) results in  
“llo helpful handy hamm”

# Manipulating Strings

- How might we reverse a string?
- We used the same technique for the problems
  - Build up a new string piece by piece

# Example: Reversing Strings

```
def reverse(str):  
    output = ""  
    for i in range(0, len(str)):  
        output = output + str[len(str)-i-1]  
    print (output)
```

*or do the loop this way:*

```
for ch in str:  
    output = ch + output
```

# Useful things to know

- range function
- ord and chr functions
- int and type functions
- recursion